

**R-6-3 历史评级结果 (非金融及金融机构)**

名称	评级	日期
361 Degrees International Ltd.	BB	5/17/2016
3D-GOLD Jewellery Holdings Limited	BB	10/2/2007
3D-GOLD Jewellery Holdings Limited	D	10/15/2008
3D-GOLD Jewellery Holdings Limited	NR	10/23/2009
AEON Credit Service (Asia) Co. Ltd.	A-	7/8/2008
AEON Credit Service (Asia) Co. Ltd.	BBB+	3/1/2013
AEON Credit Service (Asia) Co. Ltd.	BBB	4/13/2016
AES China Generating Co. Ltd.	B+	10/7/2002
AES China Generating Co. Ltd.	B	7/19/2009
AES China Generating Co. Ltd.	B-	12/1/2009
AES China Generating Co. Ltd.	CCC	3/12/2010
AES China Generating Co. Ltd.	CCC+	7/30/2010
AES China Generating Co. Ltd.	NR	7/30/2010
Agile Group Holdings Ltd.	BB	9/4/2006
Agile Group Holdings Ltd.	BB-	10/14/2014
Agricultural Bank of China Ltd.	A	12/16/2012
Agricultural Development Bank of China	A	7/9/2008
Agricultural Development Bank of China	A+	7/31/2008
Agricultural Development Bank of China	AA-	12/16/2010
Agricultural Development Bank of China	A+	9/21/2017
AIA Group Ltd.	A	2/27/2013
Airport Authority Hong Kong	A	9/20/2000
Airport Authority Hong Kong	A+	2/9/2001
Airport Authority Hong Kong	AA-	7/19/2005
Airport Authority Hong Kong	AA	7/27/2006
Airport Authority Hong Kong	AA+	7/31/2008
Airport Authority Hong Kong	AAA	12/16/2010
Airport Authority Hong Kong	AA+	9/22/2017
Alibaba Group Holding Limited	A+	11/13/2014
Aluminum Corp. of China Ltd.	BBB	11/5/2001
Aluminum Corp. of China Ltd.	BBB+	12/7/2004
Aluminum Corp. of China Ltd.	BBB	2/2/2012
Aluminum Corp. of China Ltd.	BBB-	9/12/2012
Anhui Conch Cement Company Limited	A-	1/28/2016
Anhui Conch Cement Company Limited	A	9/6/2017
ANZ Bank (Taiwan) Limited	A+	4/8/2013
APP China Group Ltd.	CCC+	3/7/2000
APP China Group Ltd.	CCC-	2/2/2001
APP China Group Ltd.	CC	3/12/2001
APP China Group Ltd.	D	3/15/2001
APP China Group Ltd.	NR	12/5/2004
ASAT Holdings Ltd.	BB-	7/28/2000
ASAT Holdings Ltd.	B+	10/29/2001
ASAT Holdings Ltd.	B	9/26/2002
ASAT Holdings Ltd.	B-	8/17/2005
ASAT Holdings Ltd.	CCC	12/15/2006
ASAT Holdings Ltd.	D	8/3/2007
ASAT Holdings Ltd.	CCC-	9/12/2007

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名称	评级	日期
ASAT Holdings Ltd.	CC	12/16/2008
ASAT Holdings Ltd.	D	2/2/2009
ASAT Holdings Ltd.	NR	9/15/2009
Asia Aluminum Holdings Limited	BB	12/7/2004
Asia Aluminum Holdings Limited	BB-	7/4/2006
Asia Aluminum Holdings Limited	B+	10/15/2007
Asia Aluminum Holdings Limited	B	12/4/2008
Asia Aluminum Holdings Limited	CC	2/16/2009
Asia Aluminum Holdings Limited	D	3/17/2009
Asia Aluminum Holdings Limited	NR	9/9/2009
ASM Pacific Technology Limited	BB+	2/28/2014
Australia and New Zealand Bank (China) Co. Ltd.	A+	9/3/2013
AVIC International Holding Corporation	BBB-	8/30/2013
Bach Finance Limited	B	9/4/2017
Bank of China (Hong Kong) Ltd.	BBB+	1/29/2002
Bank of China (Hong Kong) Ltd.	A-	2/16/2007
Bank of China (Hong Kong) Ltd.	A+	11/29/2011
Bank of China Ltd.	BBB-	7/29/2004
Bank of China Ltd.	BBB+	9/27/2005
Bank of China Ltd.	A-	11/22/2007
Bank of China Ltd.	A	11/29/2011
Bank of Chongqing Co. Ltd.	BBB-	6/13/2017
Bank of Communications Co. Ltd.	BB+	4/8/2005
Bank of Communications Co. Ltd.	BBB-	9/27/2005
Bank of Communications Co. Ltd.	BBB	12/15/2006
Bank of Communications Co. Ltd.	BBB+	7/7/2008
Bank of Communications Co. Ltd.	A-	12/6/2011
Bank of Communications Financial Leasing Co. Ltd.	A-	3/19/2015
Bank of Nanjing Co. Ltd.	BBB-	8/9/2011
Bank of Nanjing Co. Ltd.	BB+	6/30/2015
Bank of Nanjing Co. Ltd.	NR	6/27/2016
Bank SinoPac	BBB-	10/3/2000
Bank SinoPac	BBB	7/10/2005
Baoshan Iron & Steel Co. Ltd.	BBB	10/20/2003
Baoshan Iron & Steel Co. Ltd.	BBB+	12/6/2004
Baoshan Iron & Steel Co. Ltd.	A-	12/14/2006
Baoshan Iron & Steel Co. Ltd.	NR	8/23/2009
Baoshan Iron & Steel Co. Ltd.	A-	11/28/2013
Baoshan Iron & Steel Co. Ltd.	BBB+	2/1/2016
Baosteel Resources International Company Ltd.	BBB+	1/15/2015
Baosteel Resources International Company Ltd.	BBB	2/1/2016
Bao-Trans Enterprises Limited	A-	11/28/2013
Bao-Trans Enterprises Limited	BBB+	2/1/2016
Baoxin Auto Group Limited	BB-	5/2/2012
Baoxin Auto Group Limited	NR	12/10/2014
Beijing Automotive Group Co. Ltd.	A-	8/20/2015
Beijing Capital Group Co., Ltd.	BBB	3/20/2015
Beijing Capital Group Co., Ltd.	BBB-	6/27/2016

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名称	评级	日期
Beijing Capital Land Ltd.	BB+	6/28/2016
Beijing Enterprises Holdings Limited	A-	4/28/2011
Beijing Enterprises Holdings Limited	BBB+	12/20/2013
Beijing Gas Group Co. Ltd.	A-	4/13/2017
Beijing Infrastructure Investment (Hong Kong) Limited	A	3/7/2014
Beijing Infrastructure Investment Co. Ltd.	A+	3/7/2014
Beijing State-Owned Assets Management (Hong Kong) Company Limited	A-	5/11/2015
Beijing State-Owned Assets Management Co. Ltd.	A	5/11/2015
Binhai Jiantou (Hong Kong) Development Ltd.	BBB+	6/22/2015
Binhai Jiantou (Hong Kong) Development Ltd.	NR	7/12/2017
BNP Paribas (China) Ltd.	A+	9/16/2008
BNP Paribas (China) Ltd.	AA-	12/16/2010
BNP Paribas (China) Ltd.	A+	11/29/2011
BNP Paribas (China) Ltd.	A	10/25/2012
BNP Paribas (China) Ltd.	A-	3/11/2016
Bright Food (Group) Co. Ltd.	BBB-	9/27/2012
CAR Inc.	BB+	1/5/2015
CAR Inc.	BB	8/21/2017
Castle Peak Power Company Limited	AA-	6/6/2017
Cathay Financial Holding Co. Ltd.	BBB+	5/13/2002
Cathay Financial Holding Co. Ltd.	A-	9/6/2005
Cathay Financial Holding Co. Ltd.	BBB+	4/29/2009
Cathay International Water Ltd.	D	10/16/2000
Cathay International Water Ltd.	CCC	11/13/2000
Cathay International Water Ltd.	NR	8/17/2003
Cathay United Bank Co. Ltd.	BBB	7/8/2003
Cathay United Bank Co. Ltd.	BBB+	9/8/2004
Cathay United Bank Co. Ltd.	A	9/6/2005
Cathay United Bank Co. Ltd.	A-	4/29/2009
CCB Financial Leasing Corporation Limited	A	6/3/2015
CCB Life Insurance Co. Ltd.	A-	4/7/2017
CDIB Capital Group	A-	5/20/2002
CDIB Capital Group	BBB+	8/31/2004
CDIB Capital Group	A-	10/11/2007
CDIB Capital Group	BBB	12/6/2011
CDIB Capital Group	NR	4/21/2017
Central China Real Estate Ltd.	BB-	10/5/2010
Central China Real Estate Ltd.	B+	4/5/2016
Chang Hwa Commercial Bank Ltd.	BBB+	11/9/2009
Changsha Pilot Investment Holdings Co. Ltd.	BBB-	11/21/2016
Chaoda Modern Agriculture (Holdings) Ltd.	BB	6/14/2004
Chaoda Modern Agriculture (Holdings) Ltd.	BB-	12/4/2008
Chaoda Modern Agriculture (Holdings) Ltd.	BB	12/13/2010
Chaoda Modern Agriculture (Holdings) Ltd.	BB-	10/4/2011
Chaoda Modern Agriculture (Holdings) Ltd.	B-	11/25/2011
Chaoda Modern Agriculture (Holdings) Ltd.	CCC	1/17/2012
Chaoda Modern Agriculture (Holdings) Ltd.	CC	2/10/2012
Chaoda Modern Agriculture (Holdings) Ltd.	NR	2/10/2012

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名称	评级	日期
Cheng Shin Rubber Ind. Co. Ltd.	BBB-	10/4/2016
Cheung Kong (Holdings) Ltd.	A-	6/12/2003
Cheung Kong (Holdings) Ltd.	NR	12/28/2011
Chi Mei Corp.	BB	6/10/2003
Chi Mei Corp.	NR	8/17/2005
Chiao Tung Bank	A-	12/22/2003
Chiao Tung Bank	A	9/27/2005
Chiao Tung Bank	NR	8/21/2006
China Aluminum International Engineering Corporation Limited	BB+	2/17/2014
China Aoyuan Property Group Ltd.	B	1/10/2014
China Aoyuan Property Group Ltd.	B+	7/20/2017
China Automation Group Ltd.	BB-	4/6/2011
China Automation Group Ltd.	B+	6/16/2015
China Automation Group Ltd.	B-	6/23/2016
China Automation Group Ltd.	CCC	8/30/2016
China Baowu Steel Group Corp. Ltd.	BBB-	6/24/2002
China Baowu Steel Group Corp. Ltd.	BBB	10/20/2003
China Baowu Steel Group Corp. Ltd.	BBB+	12/6/2004
China Baowu Steel Group Corp. Ltd.	A-	12/14/2006
China Baowu Steel Group Corp. Ltd.	NR	8/23/2009
China Baowu Steel Group Corp. Ltd.	A	11/20/2011
China Baowu Steel Group Corp. Ltd.	A-	10/11/2012
China Baowu Steel Group Corp. Ltd.	BBB+	2/1/2016
China Cinda (HK) Holdings Company Limited	BBB+	4/29/2014
China Cinda (HK) Holdings Company Limited	A-	6/29/2016
China Cinda Asset Management Co. Ltd.	A-	4/29/2014
China CITIC Bank Co., Ltd.	BBB+	11/30/2017
China Construction Bank Corp.	BBB-	7/29/2004
China Construction Bank Corp.	BBB+	9/27/2005
China Construction Bank Corp.	A-	11/22/2007
China Construction Bank Corp.	A	11/29/2011
China Development Bank	BBB+	2/18/2004
China Development Bank	A-	9/15/2005
China Development Bank	A	7/27/2006
China Development Bank	A+	7/31/2008
China Development Bank	AA-	12/16/2010
China Development Bank	A+	9/21/2017
China Development Bank Capital Corp. Ltd.	AA-	7/20/2016
China Development Bank Capital Corp. Ltd.	A+	9/21/2017
China Development Bank Financial Leasing Co. Ltd.	A+	7/18/2014
China Development Bank Financial Leasing Co. Ltd.	A	9/21/2017
China Development Bank International Holdings Ltd.	AA-	7/20/2016
China Development Bank International Holdings Ltd.	A+	9/21/2017
China Development Financial Holding Corp.	BBB+	5/20/2002
China Development Financial Holding Corp.	BBB	8/31/2004
China Development Financial Holding Corp.	BBB+	10/11/2007
China Development Financial Holding Corp.	BBB-	12/6/2011
China Evergrande Group	BB	1/10/2010

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名称	评级	日期
China Evergrande Group	BB-	4/28/2014
China Evergrande Group	B+	5/6/2015
China Evergrande Group	B-	4/8/2016
China Evergrande Group	B	5/18/2017
China Export & Credit Insurance Corporation	A	8/10/2007
China Export & Credit Insurance Corporation	A+	7/31/2008
China Export & Credit Insurance Corporation	AA-	12/16/2010
China Export & Credit Insurance Corporation	NR	12/23/2010
China Fishery Group Ltd.	B+	12/1/2006
China Fishery Group Ltd.	BB-	12/21/2010
China Fishery Group Ltd.	B+	9/28/2012
China Fishery Group Ltd.	B	8/15/2014
China Fishery Group Ltd.	CCC+	10/19/2015
China Fishery Group Ltd.	SD	11/26/2015
China Fishery Group Ltd.	D	2/1/2016
China Fishery Group Ltd.	NR	7/26/2016
China Forestry Holdings Co. Ltd.	B+	11/2/2010
China Forestry Holdings Co. Ltd.	CC	5/6/2011
China Forestry Holdings Co. Ltd.	CCC-	8/4/2011
China Forestry Holdings Co. Ltd.	D	11/19/2013
China Forestry Holdings Co. Ltd.	NR	7/4/2014
China General Nuclear Power Corporation	A-	5/6/2015
China Glass Holdings Ltd.	B+	6/21/2007
China Glass Holdings Ltd.	B	4/30/2009
China Glass Holdings Ltd.	CC	6/5/2009
China Glass Holdings Ltd.	SD	8/4/2009
China Glass Holdings Ltd.	B	8/28/2009
China Glass Holdings Ltd.	B+	5/24/2011
China Glass Holdings Ltd.	NR	9/25/2012
China Gold International Resources Corp. Ltd.	BBB-	7/4/2014
China Great Wall AMC (International) Holdings Company Limited	BBB+	10/18/2016
China Great Wall Asset Management Co. Ltd.	A-	10/18/2016
China Hongqiao Group Limited	BB	7/11/2011
China Hongqiao Group Limited	BB-	9/1/2015
China Hongqiao Group Limited	B+	3/24/2017
China Hongqiao Group Limited	B	7/24/2017
China Huarong Asset Management Co. Ltd.	A-	7/4/2014
China Huarong International Holdings Limited	BBB+	7/4/2014
China International Capital Corporation (Hong Kong) Limited	BBB+	3/30/2016
China International Capital Corporation (Hong Kong) Limited	BBB	9/21/2017
China International Capital Corporation Limited	BBB+	3/30/2016
China International Capital Corporation Limited	BBB	9/21/2017
China Jianyin Investment Ltd.	A	11/6/2017
China Jinjiang Environment Holding Co Limited	BB	7/16/2017
China Jinmao Holdings Group Limited	BBB-	3/31/2011
China Jinmao Holdings Group Limited	BB+	11/29/2011
China Jinmao Holdings Group Limited	BBB-	12/18/2013
China Life Insurance (Overseas) Company Limited	A+	6/21/2017

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名称	评级	日期
China Life Insurance (Overseas) Company Limited	A	9/22/2017
China Life Insurance Co. Ltd.	AA-	6/19/2015
China Life Insurance Co. Ltd.	A+	9/22/2017
China Longyuan Power Group Corporation Limited	BBB+	11/28/2012
China Longyuan Power Group Corporation Limited	A-	5/29/2015
China Lumena New Materials Corp.	BB-	10/7/2009
China Lumena New Materials Corp.	B+	9/20/2011
China Lumena New Materials Corp.	NR	7/23/2013
China Medical Technologies Inc.	B+	9/21/2010
China Medical Technologies Inc.	SD	1/31/2012
China Medical Technologies Inc.	D	2/17/2012
China Medical Technologies Inc.	NR	2/17/2012
China Mengniu Dairy Company Limited	A-	11/14/2013
China Mengniu Dairy Company Limited	BBB+	4/7/2017
China Merchants Bank Co. Ltd.	BBB-	10/22/2007
China Merchants Bank Co. Ltd.	BBB	4/15/2010
China Merchants Bank Co. Ltd.	BBB+	12/6/2011
China Merchants Port Holdings Co. Ltd.	BBB	2/17/2005
China Merchants Port Holdings Co. Ltd.	BBB+	6/13/2014
China Merchants Port Holdings Co. Ltd.	BBB	10/30/2017
China Metallurgical Group Corporation	BBB-	7/11/2011
China Metallurgical Group Corporation	BB+	5/28/2013
China Metallurgical Group Corporation	BBB-	4/15/2015
China Metallurgical Group Corporation	BBB	7/14/2016
China Minsheng Banking Corp., Ltd.	BBB	2/17/2015
China Minsheng Banking Corp., Ltd.	BBB-	9/22/2017
China Mobile Ltd.	BBB+	2/17/2004
China Mobile Ltd.	A-	7/19/2005
China Mobile Ltd.	A	7/27/2006
China Mobile Ltd.	A+	7/31/2008
China Mobile Ltd.	AA-	12/16/2010
China Mobile Ltd.	A+	9/22/2017
China National Bluestar (Group) Co. Ltd.	BBB-	5/22/2015
China National Bluestar (Group) Co. Ltd.	BBB	12/1/2015
China National Chemical Corporation Limited.	BBB	10/30/2016
China National Gold Group Co., Ltd.	BBB	7/4/2014
China National Offshore Oil Corp.	BBB	9/26/2001
China National Offshore Oil Corp.	BBB+	2/17/2004
China National Offshore Oil Corp.	A-	11/15/2005
China National Offshore Oil Corp.	A	11/5/2007
China National Offshore Oil Corp.	A+	7/31/2008
China National Offshore Oil Corp.	AA-	12/16/2010
China National Offshore Oil Corp.	A+	1/25/2016
China National Offshore Oil Corp.	A	9/22/2017
China National Offshore Oil Corp.	A+	12/1/2017
China National Petroleum Corp.	AA-	4/8/2011
China National Petroleum Corp.	A+	9/22/2017
China Oil and Gas Group Limited	BB+	4/9/2013

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名称	评级	日期
China Oil and Gas Group Limited	BB	7/24/2015
China Oilfield Services Limited	A-	12/20/2012
China Oilfield Services Limited	BBB+	4/6/2016
China Oilfield Services Limited	BBB	11/4/2016
China Orient Asset Management (International) Holding Limited	BBB	9/6/2013
China Orient Asset Management (International) Holding Limited	BBB+	12/22/2015
China Orient Asset Management Co. Ltd.	BBB+	9/6/2013
China Overseas Grand Oceans Group Limited	BBB-	11/11/2013
China Overseas Land & Investment Ltd.	NR	3/8/2001
China Overseas Land & Investment Ltd.	BBB-	5/12/2005
China Overseas Land & Investment Ltd.	BBB	3/25/2010
China Overseas Land & Investment Ltd.	BBB+	4/22/2013
China Petrochemical Corporation	A+	5/3/2012
China Petrochemical Corporation	AA-	9/30/2014
China Petrochemical Corporation	A+	1/25/2016
China Petrochemical Corporation	AA-	4/13/2017
China Petrochemical Corporation	A+	9/22/2017
China Petroleum & Chemical Corp.	BBB-	9/29/2000
China Petroleum & Chemical Corp.	BBB	12/20/2001
China Petroleum & Chemical Corp.	A-	11/5/2007
China Petroleum & Chemical Corp.	A	11/2/2009
China Petroleum & Chemical Corp.	A+	12/16/2010
China Petroleum & Chemical Corp.	AA-	9/30/2014
China Petroleum & Chemical Corp.	A+	1/25/2016
China Petroleum & Chemical Corp.	AA-	4/13/2017
China Petroleum & Chemical Corp.	A+	9/22/2017
China Properties Group Ltd.	B+	4/19/2007
China Properties Group Ltd.	B	2/19/2009
China Properties Group Ltd.	B-	6/12/2009
China Properties Group Ltd.	NR	9/21/2010
China Properties Group Ltd.	B-	5/23/2013
China Properties Group Ltd.	CCC+	2/11/2015
China Properties Group Ltd.	NR	1/23/2017
China Qinfa Group Ltd.	B+	5/3/2011
China Qinfa Group Ltd.	NR	8/10/2012
China Railway Construction Corporation Limited	A-	5/2/2013
China Railway Group Limited	BBB+	1/22/2013
China Reinsurance (Group) Corporation	A+	12/22/2014
China Reinsurance (Group) Corporation	A	9/22/2017
China Resources Gas Group Limited	BBB+	7/21/2015
China Resources Land Limited	BBB	5/3/2011
China Resources Land Limited	BBB+	12/11/2014
China Resources Power Holdings Co. Ltd.	BBB	7/26/2010
China SCE Group Holdings Ltd.	B+	1/4/2011
China SCE Group Holdings Ltd.	B	8/8/2013
China Shanshui Cement Group Ltd.	BB	5/8/2011
China Shanshui Cement Group Ltd.	BB-	7/31/2013
China Shanshui Cement Group Ltd.	B+	9/15/2014

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名称	评级	日期
China Shanshui Cement Group Ltd.	CCC	6/17/2015
China Shanshui Cement Group Ltd.	CC	11/6/2015
China Shanshui Cement Group Ltd.	D	11/13/2015
China Shanshui Cement Group Ltd.	NR	4/29/2016
China Shenhua Energy Company Limited	AA-	1/6/2015
China Shenhua Energy Company Limited	A+	9/22/2017
China South City Holdings Limited	B+	1/4/2011
China South City Holdings Limited	BB-	7/25/2014
China South City Holdings Limited	B+	7/28/2015
China South City Holdings Limited	B	12/9/2015
China Southern Power Grid Co. Ltd.	AA-	12/15/2015
China Southern Power Grid Co. Ltd.	A+	9/22/2017
China State Construction Engineering Corporation Limited	A	10/30/2015
China State Construction International Holdings Limited	BBB-	1/29/2013
China State Construction International Holdings Limited	BBB	12/10/2013
China Taiping Insurance Group (HK) Co. Ltd.	BBB+	9/30/2013
China Taiping Insurance Holdings Co. Ltd.	BBB-	10/24/2003
China Taiping Insurance Holdings Co. Ltd.	BBB	6/10/2013
China Taiping Insurance Holdings Co. Ltd.	BBB+	9/26/2013
China Three Gorges Corporation	A	8/12/2012
China Three Gorges Corporation	A+	7/13/2016
China Three Gorges Corporation	A	9/22/2017
China Tianrui Group Cement Company Limited	B+	4/19/2012
China Tianrui Group Cement Company Limited	NR	1/8/2013
China Travel Service (Holdings) Hong Kong Limited	BBB	10/15/2014
China Travel Service (Holdings) Hong Kong Limited	BBB+	10/13/2016
China Unicom Ltd.	NR	10/17/2001
China United SME Guarantee Corporation	BBB-	8/12/2015
China United SME Guarantee Corporation	BB+	5/31/2016
China Vanke Co. Ltd.	BBB+	3/4/2013
China Water Affairs Group Ltd.	BB+	1/20/2017
China Zhengtong Auto Services Holding Limited	BB-	4/26/2012
China Zhengtong Auto Services Holding Limited	B+	4/5/2017
China Zhengtong Auto Services Holding Limited	NR	5/8/2017
Chinese Estates Holdings Ltd.	B+	3/29/2001
Chinese Estates Holdings Ltd.	NR	11/27/2006
Chinese Future Corp.	BB	11/18/2005
Chinese Future Corp.	NR	10/28/2008
Chinese Petroleum Corp.	A+	5/14/2002
Chinese Petroleum Corp.	A	9/27/2006
Chinese Petroleum Corp.	NR	5/13/2007
Chongqing Nan'an Urban Construction & Development (Group) Co. Ltd.	BBB+	6/20/2016
Chunghwa Telecom Co. Ltd.	AA	12/18/2000
Chunghwa Telecom Co. Ltd.	AA-	12/18/2002
Chunghwa Telecom Co. Ltd.	AA	1/22/2007
CIFI Holdings (Group) Company Limited	B+	2/15/2013
CIFI Holdings (Group) Company Limited	BB-	2/27/2015
Citibank Securities (Taiwan) Ltd.	BBB+	7/23/2003



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名称	评级	日期
Citibank Securities (Taiwan) Ltd.	NR	7/3/2006
CITIC Group Corp.	BB+	9/27/2005
CITIC Group Corp.	BBB-	7/27/2007
CITIC Group Corp.	BBB	11/2/2009
CITIC Group Corp.	BBB+	11/29/2010
CITIC Group Corp.	A-	9/30/2015
CITIC Group Corp.	BBB+	9/28/2017
CITIC International Financial Holdings Ltd.	BBB-	11/6/2003
CITIC International Financial Holdings Ltd.	NR	2/13/2009
CITIC Ka Wah Bank Ltd.	BBB	6/20/2001
CITIC Ka Wah Bank Ltd.	NR	4/18/2002
CITIC Limited	BBB-	5/14/2001
CITIC Limited	BB+	6/22/2006
CITIC Limited	BB	10/21/2008
CITIC Limited	BB+	2/13/2009
CITIC Limited	BBB-	12/1/2009
CITIC Limited	BB+	7/18/2011
CITIC Limited	BB	8/21/2013
CITIC Limited	BBB+	9/11/2014
CITIC Limited	A-	9/30/2015
CITIC Limited	BBB+	9/28/2017
CITIC Resources Holdings Ltd.	BB	5/8/2007
CITIC Resources Holdings Ltd.	BB+	7/27/2007
CITIC Resources Holdings Ltd.	BB	2/13/2009
CITIC Resources Holdings Ltd.	BB-	1/25/2016
CITIC Securities Co. Ltd.	BBB+	10/18/2012
CITIC Securities Co. Ltd.	BBB	12/14/2015
CITIC Securities Co. Ltd.	BBB+	11/28/2017
CITIC Securities International Company Limited	BBB+	4/15/2015
CITIC Securities International Company Limited	BBB	12/14/2015
CITIC Securities International Company Limited	BBB+	11/28/2017
CK Asset Holdings Limited	A-	8/25/2015
CK Asset Holdings Limited	A	6/1/2017
CK Hutchison Holdings Limited	A-	7/6/2015
CLP Holdings Ltd.	A	10/11/2000
CLP Holdings Ltd.	A+	2/9/2001
CLP Holdings Ltd.	A	5/26/2005
CLP Holdings Ltd.	A-	6/26/2008
CLP Holdings Ltd.	A	5/26/2017
CLP Power Hong Kong Ltd.	A+	2/9/2001
CLP Power Hong Kong Ltd.	A	6/26/2008
CLP Power Hong Kong Ltd.	A+	5/26/2017
CMB Financial Leasing Co. Ltd.	BBB+	5/4/2016
CMB International Leasing Management Limited	BBB+	5/4/2016
CMC Magnetics Corp.	BB	3/12/2002
CMC Magnetics Corp.	BB-	11/26/2002
CMC Magnetics Corp.	NR	3/25/2003
CMC Magnetics Corp.	BB-	10/13/2003

**R-6-3 历史评级结果 (非金融及金融机构)**

名称	评级	日期
CMC Magnetics Corp.	B+	10/12/2005
CMC Magnetics Corp.	B	6/20/2006
CMC Magnetics Corp.	NR	1/30/2007
CNOOC Finance Corp. Ltd.	BBB+	3/1/2005
CNOOC Finance Corp. Ltd.	BBB+	6/23/2005
CNOOC Finance Corp. Ltd.	A-	11/15/2005
CNOOC Finance Corp. Ltd.	A	11/5/2007
CNOOC Finance Corp. Ltd.	A+	8/4/2008
CNOOC Finance Corp. Ltd.	AA-	12/16/2010
CNOOC Finance Corp. Ltd.	A+	1/25/2016
CNOOC Finance Corp. Ltd.	A	9/22/2017
CNOOC Finance Corp. Ltd.	A+	12/1/2017
CNOOC Ltd.	BBB	2/1/2001
CNOOC Ltd.	BBB+	2/17/2004
CNOOC Ltd.	A-	11/15/2005
CNOOC Ltd.	A	11/5/2007
CNOOC Ltd.	A+	7/31/2008
CNOOC Ltd.	AA-	12/16/2010
CNOOC Ltd.	A+	1/25/2016
CNOOC Ltd.	A	9/22/2017
CNOOC Ltd.	A+	12/1/2017
CNPC Finance (HK) Limited	A+	4/8/2011
CNPC Finance (HK) Limited	A	9/22/2017
CoActive Technologies Inc.	B	6/27/2007
CoActive Technologies Inc.	NR	11/14/2008
Coastal Greenland Limited	B+	10/22/2007
Coastal Greenland Limited	B	2/20/2009
Coastal Greenland Limited	B-	8/1/2011
Coastal Greenland Limited	CCC+	2/20/2012
Coastal Greenland Limited	B-	11/8/2012
Coastal Greenland Limited	NR	9/26/2014
COFCO (Hong Kong) Limited	A-	10/30/2013
COFCO (Hong Kong) Limited	BBB+	6/29/2016
Compal Electronics Inc.	BBB-	6/4/2002
Compal Electronics Inc.	NR	4/9/2008
Concord New Energy Group Limited	BB	11/6/2017
Country Garden Holdings Co. Ltd.	BBB-	10/29/2007
Country Garden Holdings Co. Ltd.	BB+	11/30/2008
Country Garden Holdings Co. Ltd.	BB	9/3/2009
Country Garden Holdings Co. Ltd.	BB+	7/28/2014
Country Garden Holdings Co. Ltd.	BB	3/17/2016
CRRC Corporation Limited	AA-	6/16/2017
CRRC Corporation Limited	A+	9/22/2017
CTBC Bank Co. Ltd.	BBB+	11/16/2004
CTBC Bank Co. Ltd.	A-	9/27/2005
CTBC Bank Co. Ltd.	A	12/6/2011
CTBC Bank Co. Ltd.	A-	7/22/2014
CTBC Bank Co. Ltd.	A	9/26/2016

**R-6-3 历史评级结果 (非金融及金融机构)**

名称	评级	日期
CTBC Financial Holding Co. Ltd.	BBB-	6/13/2002
CTBC Financial Holding Co. Ltd.	BBB	11/16/2004
CTBC Financial Holding Co. Ltd.	BBB+	12/6/2011
CTBC Financial Holding Co. Ltd.	BBB	7/22/2014
Dah Sing Bank Ltd.	BBB+	5/5/2005
Dah Sing Bank Ltd.	NR	12/30/2011
Dalian Wanda Commercial Management Group Co.,Ltd	BBB+	10/28/2013
Dalian Wanda Commercial Management Group Co.,Ltd	BBB	2/2/2016
Dalian Wanda Commercial Management Group Co.,Ltd	BBB-	12/12/2016
Dalian Wanda Commercial Management Group Co.,Ltd	BB	9/27/2017
Daqing Dairy Holdings Limited	B	3/28/2011
Daqing Dairy Holdings Limited	NR	3/16/2012
Datang International Power Generation Co. Ltd.	BBB	3/9/2003
Datang International Power Generation Co. Ltd.	BBB-	6/7/2006
Datang International Power Generation Co. Ltd.	NR	2/25/2009
DBA Telecommunication (Asia) Holdings Limited	BB-	1/10/2013
DBA Telecommunication (Asia) Holdings Limited	B	8/7/2013
DBA Telecommunication (Asia) Holdings Limited	NR	8/7/2013
DBS Bank (China) Limited	AA-	10/10/2013
DBS Bank (China) Limited	A+	9/21/2017
DBS Bank (Hong Kong) Ltd.	A-	9/5/2001
DBS Bank (Hong Kong) Ltd.	A+	7/20/2003
DBS Bank (Hong Kong) Ltd.	AA-	7/19/2005
DBS Bank (Taiwan) Ltd.	A+	12/5/2011
DBS Bank (Taiwan) Ltd.	NR	12/22/2014
Development Bank of Mongolia	B+	6/22/2015
Development Bank of Mongolia	B	11/3/2015
Development Bank of Mongolia	B-	8/22/2016
Dongfeng Motor Group Company Limited	A	10/13/2015
Dr. Peng Telecom & Media Group Co. Ltd.	BB	4/12/2017
E.SUN Commercial Bank Limited	BBB	7/12/2005
E.SUN Commercial Bank Limited	BBB+	5/9/2017
E.SUN Financial Holding Co. Ltd.	BBB-	6/30/2010
E.SUN Financial Holding Co. Ltd.	BBB	5/9/2017
eHi Car Services Limited	BB	7/27/2015
E-Land Fashion China Holdings Ltd.	BB-	7/15/2010
E-Land Fashion China Holdings Ltd.	NR	11/25/2014
Elion Resources Group Co., Ltd.	B	10/15/2017
ENN Energy Holdings Ltd.	BB+	7/21/2005
ENN Energy Holdings Ltd.	BBB-	12/20/2010
ENN Energy Holdings Ltd.	BBB	7/11/2014
Erdenet Mining Corp.	NR	5/20/2008
Everlight Electronics Co. Ltd.	BB	7/24/2007
Everlight Electronics Co. Ltd.	NR	7/20/2009
Export-Import Bank of China (The)	BBB+	2/18/2004
Export-Import Bank of China (The)	A-	9/15/2005
Export-Import Bank of China (The)	A	7/27/2006
Export-Import Bank of China (The)	A+	7/31/2008

**R-6-3 历史评级结果 (非金融及金融机构)**

名称	评级	日期
Export-Import Bank of China (The)	AA-	12/16/2010
Export-Import Bank of China (The)	A+	9/21/2017
Famous Commercial Limited	BB	6/14/2013
Famous Commercial Limited	BB-	9/3/2014
Fantasia Holdings Group Co. Ltd.	BB-	4/28/2010
Fantasia Holdings Group Co. Ltd.	B+	8/22/2014
Far East Horizon Limited	BBB-	1/2/2014
Far EastTone Telecommunications Co. Ltd.	NR	10/17/2001
Far EastTone Telecommunications Co. Ltd.	BBB-	1/27/2003
Far EastTone Telecommunications Co. Ltd.	BBB+	12/22/2004
Far EastTone Telecommunications Co. Ltd.	A-	3/2/2006
Far EastTone Telecommunications Co. Ltd.	BBB+	12/10/2013
Far EastTone Telecommunications Co. Ltd.	BBB	6/26/2015
Farmers Bank of China	BBB-	5/22/2000
Farmers Bank of China	BB+	3/20/2002
Farmers Bank of China	BB	2/19/2004
Farmers Bank of China	BB+	7/10/2005
Farmers Bank of China	BBB+	2/20/2006
Farmers Bank of China	NR	5/1/2006
First Commercial Bank Ltd.	BBB	5/16/2004
First Commercial Bank Ltd.	BBB+	9/27/2005
First Commercial Bank Ltd.	A-	10/5/2015
First Financial Holding Co. Ltd.	BBB-	5/16/2004
First Financial Holding Co. Ltd.	NR	8/24/2009
First Financial Holding Co. Ltd.	BBB-	8/30/2011
First Financial Holding Co. Ltd.	BBB	10/5/2015
Formosa Chemicals & Fibre Corp.	BBB+	6/10/2004
Formosa Chemicals & Fibre Corp.	A-	12/6/2007
Formosa Chemicals & Fibre Corp.	BBB+	5/6/2009
Formosa Petrochemical Corp.	BBB+	6/10/2004
Formosa Petrochemical Corp.	A-	12/6/2007
Formosa Petrochemical Corp.	BBB+	5/6/2009
Formosa Plastics Corp.	BBB+	6/10/2004
Formosa Plastics Corp.	A-	12/6/2007
Formosa Plastics Corp.	BBB+	5/6/2009
Fortis Insurance Company (Asia) Limited	BBB-	12/30/2004
Fortis Insurance Company (Asia) Limited	BBB+	6/12/2007
Fortis Insurance Company (Asia) Limited	NR	10/20/2008
Fosun International Ltd.	BB	9/27/2005
Fosun International Ltd.	NR	11/20/2005
Fosun International Ltd.	BB+	4/26/2011
Fosun International Ltd.	BB	4/15/2014
Fubon Bank (China) Co. Ltd.	BBB+	6/4/2015
Fubon Bank (Hong Kong) Ltd.	BBB+	9/6/2005
Fubon Commercial Bank	BBB-	7/5/2002
Fubon Commercial Bank	BBB	2/5/2004
Fubon Commercial Bank	NR	1/2/2005
Fubon Financial Holding Co. Ltd.	BBB-	3/20/2002

**R-6-3 历史评级结果 (非金融及金融机构)**

名称	评级	日期
Fubon Financial Holding Co. Ltd.	BBB	6/28/2002
Fubon Financial Holding Co. Ltd.	BBB+	9/6/2005
Fufeng Group Ltd.	BB	3/28/2011
Fufeng Group Ltd.	BB+	1/11/2016
Fujian International Trust & Investment Corp.	NR	3/10/2000
Future Land Development Holdings Limited	BB-	1/21/2013
Galaxy Casino, S.A.	B+	11/21/2005
Galaxy Casino, S.A.	B	11/21/2008
Galaxy Casino, S.A.	NR	3/31/2011
Gansu Provincial Highway Aviation Tourism Investment Group Co. Ltd.	BBB-	11/3/2016
GCL-Poly Energy Holdings Limited	BB+	5/12/2011
GCL-Poly Energy Holdings Limited	NR	2/3/2012
GE Capital (Hong Kong) Ltd.	NR	9/5/2003
Geely Automobile Holdings Limited	BB+	9/16/2014
Geely Automobile Holdings Limited	BBB-	12/15/2017
Gemdale Corporation	BB+	7/16/2012
Gemdale Corporation	BB	9/3/2014
GITI Tire Pte. Ltd.	B+	1/9/2007
GITI Tire Pte. Ltd.	B	11/12/2009
GITI Tire Pte. Ltd.	B-	10/6/2011
GITI Tire Pte. Ltd.	NR	10/31/2011
Glorious Property Holdings Ltd.	B+	4/14/2010
Glorious Property Holdings Ltd.	B	4/3/2012
Glorious Property Holdings Ltd.	B-	9/6/2013
Glorious Property Holdings Ltd.	CCC	1/20/2015
Glorious Property Holdings Ltd.	CCC-	4/28/2015
Glorious Property Holdings Ltd.	CC	9/2/2015
Glorious Property Holdings Ltd.	CCC	11/4/2015
Glorious Property Holdings Ltd.	NR	4/8/2016
Golden Eagle Retail Group Limited	BBB-	4/25/2013
Golden Eagle Retail Group Limited	BB+	4/17/2015
Golden Eagle Retail Group Limited	BB-	12/30/2015
Golden Wheel Tiandi Holdings Company Limited	B	4/12/2013
Goldman Sachs Holdings (Hong Kong) Limited	BBB+	5/10/2016
Golomt Bank of Mongolia	BB-	11/8/2011
Golomt Bank of Mongolia	B+	12/8/2011
Golomt Bank of Mongolia	NR	11/8/2013
Golomt Bank of Mongolia	B	7/14/2014
Golomt Bank of Mongolia	B-	11/3/2015
GOME Retail Holdings Limited	BB	2/28/2017
Goodman Hong Kong Logistics Partnership	BBB+	5/28/2014
Grand Commercial Bank	BB	11/7/2002
Grand Commercial Bank	NR	12/1/2003
Greenland Holding Group Company Limited	BBB	10/7/2013
Greenland Holding Group Company Limited	BBB-	8/19/2015
Greenland Holding Group Company Limited	BB	4/29/2016
Greenland Hong Kong Holdings Limited	BB-	3/7/2011
Greenland Hong Kong Holdings Limited	B+	9/29/2011

**R-6-3 历史评级结果 (非金融及金融机构)**

名称	评级	日期
Greenland Hong Kong Holdings Limited	B	1/16/2012
Greenland Hong Kong Holdings Limited	B-	7/10/2012
Greenland Hong Kong Holdings Limited	BBB-	10/7/2013
Greenland Hong Kong Holdings Limited	BB+	8/19/2015
Greenland Hong Kong Holdings Limited	BB-	4/29/2016
Greentown China Holdings Ltd.	BB	10/26/2006
Greentown China Holdings Ltd.	BB-	12/3/2007
Greentown China Holdings Ltd.	B+	4/23/2009
Greentown China Holdings Ltd.	B	4/22/2010
Greentown China Holdings Ltd.	B-	1/20/2012
Greentown China Holdings Ltd.	CCC+	4/26/2012
Greentown China Holdings Ltd.	B	12/19/2012
Greentown China Holdings Ltd.	BB-	8/8/2013
Greentown China Holdings Ltd.	BB	7/20/2015
Greentown China Holdings Ltd.	BB-	5/17/2016
Guangdong Enterprises (Holdings) Ltd.	NR	10/11/2000
Guangdong Hengjian Investment Holding Co. Ltd.	A-	6/23/2017
Guangdong International Trust & Investment Corp.	NR	3/7/2000
Guangdong Yue Gang Water Supply Co. Ltd.	BB+	2/14/2001
Guangdong Yue Gang Water Supply Co. Ltd.	NR	3/30/2004
Guangzhou Industrial Investment Fund Management Co. Ltd.	BBB+	11/23/2016
Guangzhou R&F Properties Co. Ltd.	BB	10/11/2013
Guangzhou R&F Properties Co. Ltd.	BB-	9/15/2014
Guangzhou R&F Properties Co. Ltd.	B+	4/30/2015
Guorui Properties Ltd.	B	3/7/2017
Guotai Junan International Holdings Ltd.	BBB	8/4/2016
Guotai Junan International Holdings Ltd.	BBB+	6/22/2017
Guotai Junan Securities Co. Ltd.	BBB	12/2/2015
Guotai Junan Securities Co. Ltd.	BBB+	6/22/2017
H3C Holdings Ltd.	BB-	5/25/2007
H3C Holdings Ltd.	A	5/5/2010
H3C Holdings Ltd.	NR	5/5/2010
Haitong International Securities Group Limited	BBB	8/29/2014
Haitong Securities Co. Ltd.	BBB	5/15/2014
Hang Seng Bank (China) Limited	A	5/28/2007
Hang Seng Bank (China) Limited	A+	7/31/2008
Hang Seng Bank (China) Limited	AA-	12/16/2010
Hang Seng Bank (China) Limited	A+	9/21/2017
Hang Seng Bank Limited	A+	6/10/2005
Hang Seng Bank Limited	AA-	7/19/2005
Hang Seng Bank Limited	AA	7/27/2006
Hang Seng Bank Limited	AA-	11/29/2011
Hangzhou Finance And Investment Group Co. Ltd.	BBB	12/14/2016
Hangzhou Hikvision Digital Technology Co. Ltd.	A-	1/13/2016
HannStar Display Corp.	B+	3/10/2003
HannStar Display Corp.	NR	3/25/2003
HannStar Display Corp.	B	12/21/2004
HannStar Display Corp.	NR	8/17/2005

**R-6-3 历史评级结果 (非金融及金融机构)**

名称	评级	日期
HCP Global Limited	B	7/31/2014
HCP Global Limited	NR	7/17/2015
Health and Happiness (H&H) International Holdings Limited	BB	6/5/2016
Henderson Land Development Co. Ltd.	A-	2/1/2004
Henderson Land Development Co. Ltd.	NR	7/6/2005
Hengli (Hong Kong) Real Estate Ltd.	BBB	6/14/2013
Hengli (Hong Kong) Real Estate Ltd.	BBB-	9/22/2017
Heritage Investment Capital I Ltd.	CCC+	5/24/2006
Heritage Investment Capital I Ltd.	NR	5/2/2007
Hero Asia (BVI) Co. Ltd.	NR	11/19/2001
Hero Asia Investment Limited	BBB	6/6/2013
Hero Asia Investment Limited	BBB+	5/29/2015
Hidili Industry International Development Ltd.	BB-	10/18/2010
Hidili Industry International Development Ltd.	B+	12/5/2011
Hidili Industry International Development Ltd.	B	9/11/2012
Hidili Industry International Development Ltd.	B-	1/23/2013
Hidili Industry International Development Ltd.	CCC+	4/18/2013
Hidili Industry International Development Ltd.	CCC	4/22/2014
Hidili Industry International Development Ltd.	CC	9/18/2014
Hidili Industry International Development Ltd.	SD	10/22/2014
Hidili Industry International Development Ltd.	CCC	10/23/2014
Hidili Industry International Development Ltd.	CCC-	4/27/2015
Hidili Industry International Development Ltd.	D	11/4/2015
Hidili Industry International Development Ltd.	NR	3/22/2016
HK Electric Investments Limited	A-	9/8/2015
HK Zhiyuan Group Limited	BB	1/3/2016
HK Zhiyuan Group Limited	BB-	4/5/2017
HKI Properties Ltd.	B+	12/1/2006
HKI Properties Ltd.	NR	12/12/2007
Hon Hai Precision Industry Co. Ltd.	BBB	11/19/2001
Hon Hai Precision Industry Co. Ltd.	BBB+	4/10/2005
Hon Hai Precision Industry Co. Ltd.	A-	11/9/2007
Hong Kong (Special Administrative Region of the People's Republic of China)	A+	2/8/2001
Hong Kong (Special Administrative Region of the People's Republic of China)	AA-	7/19/2005
Hong Kong (Special Administrative Region of the People's Republic of China)	AA	7/27/2006
Hong Kong (Special Administrative Region of the People's Republic of China)	AA+	7/31/2008
Hong Kong (Special Administrative Region of the People's Republic of China)	AAA	12/16/2010
Hong Kong (Special Administrative Region of the People's Republic of China)	AA+	9/21/2017
Hong Kong Telecommunications (HKT) Ltd.	BBB	11/28/2008
Hong Kong Television Network Limited	BB-	1/4/2005
Hong Kong Television Network Limited	B	12/12/2005
Hong Kong Television Network Limited	B+	12/21/2007
Hong Kong Television Network Limited	BB-	6/19/2009
Hong Kong Television Network Limited	NR	2/22/2010
Hong Long Holdings Ltd	B	7/23/2007
Hong Long Holdings Ltd	NR	9/21/2007
Hong Yang Group Company Limited	B	5/12/2017
Hongkong Electric Co. Ltd.	A+	2/9/2001

**R-6-3 历史评级结果 (非金融及金融机构)**

名称	评级	日期
Hongkong Electric Co. Ltd.	A-	1/29/2014
Hongkong Electric Finance Ltd.	A+	12/18/2002
Hongkong Electric Finance Ltd.	A-	1/29/2014
Hongkong Electric Finance Ltd.	NR	2/3/2015
Hongkong International (Qingdao) Company Limited	BBB-	1/30/2015
Hongkong Land Holdings Ltd.	A-	3/8/2001
Hongkong Land Holdings Ltd.	BBB+	5/20/2002
Hongkong Land Holdings Ltd.	A-	2/4/2010
Hongkong Land Holdings Ltd.	A	4/15/2014
Hopewell Holdings Ltd.	BB-	2/3/2000
Hopewell Holdings Ltd.	NR	6/19/2005
Hopson Development Holdings Ltd.	BB+	10/24/2005
Hopson Development Holdings Ltd.	BB	6/5/2007
Hopson Development Holdings Ltd.	BB-	6/26/2008
Hopson Development Holdings Ltd.	B+	12/9/2010
Hopson Development Holdings Ltd.	B	4/3/2012
Hopson Development Holdings Ltd.	B-	5/31/2012
HSBC Bank (China) Co. Ltd.	AA-	1/16/2017
HSBC Bank (China) Co. Ltd.	A+	9/21/2017
HSBC Bank (Taiwan) Ltd.	A+	3/31/2010
Hua Han Health Industry Holdings Limited	BB-	1/25/2016
Hua Han Health Industry Holdings Limited	B+	9/27/2016
Hua Han Health Industry Holdings Limited	CCC	10/5/2016
Hua Han Health Industry Holdings Limited	CCC-	2/13/2017
Hua Han Health Industry Holdings Limited	NR	2/13/2017
Huaneng Power International Inc.	BBB+	2/17/2004
Huaneng Power International Inc.	BBB	9/27/2005
Huaneng Power International Inc.	BBB+	12/19/2007
Huaneng Power International Inc.	BBB	11/2/2009
Huaneng Power International Inc.	NR	4/14/2010
Huayi Group (Hong Kong) Limited	BBB-	11/17/2014
Huayi Group (Hong Kong) Limited	BB+	5/12/2016
Hutchison Whampoa International (09) Ltd.	A-	4/6/2009
Hutchison Whampoa International (09) Ltd.	NR	5/13/2014
Hutchison Whampoa Ltd.	A-	6/12/2003
Hutchison Whampoa Ltd.	NR	7/6/2015
Hydoo International Holding Limited	B	12/2/2015
Hysan Development Co. Ltd.	BBB	5/20/2002
Hysan Development Co. Ltd.	BBB+	12/6/2012
ICBC Financial Leasing Co. Ltd.	A-	10/30/2013
ICBC Financial Leasing Co. Ltd.	A	10/31/2014
ICBC International Holdings Limited	A-	10/29/2017
ICBCIL Finance Co. Ltd.	A	10/7/2015
IFC Development Ltd.	A	1/15/2013
Industrial and Commercial Bank of China (Asia) Limited	A	3/27/2017
Industrial and Commercial Bank of China Limited	BBB-	7/25/2005
Industrial and Commercial Bank of China Limited	BBB+	9/27/2005
Industrial and Commercial Bank of China Limited	A-	11/22/2007



**R-6-3 历史评级结果 (非金融及金融机构)**

名称	评级	日期
Industrial and Commercial Bank of China Limited	A	12/9/2010
Intime Retail (Group) Company Limited	BB-	7/4/2011
Intime Retail (Group) Company Limited	BB	4/25/2013
Intime Retail (Group) Company Limited	BB-	6/29/2015
Intime Retail (Group) Company Limited	BBB-	5/17/2017
Intime Retail (Group) Company Limited	NR	7/20/2017
Jardine Matheson Holdings Ltd.	BBB+	8/16/2004
Jardine Matheson Holdings Ltd.	NR	8/16/2004
Jardine Strategic Holdings Ltd.	BBB+	10/28/2001
Jardine Strategic Holdings Ltd.	A-	7/17/2008
Jardine Strategic Holdings Ltd.	A	12/18/2013
JD.com Inc.	BBB-	4/18/2016
Jiangsu Nantong Sanjian Construction Group Co. Ltd.	B-	7/28/2017
Jiangsu New Headline Development Group Co. Ltd.	BB+	12/18/2015
Jiangsu New Headline Development Group Co. Ltd.	BB	4/5/2017
Jinan West City Investment and Development Group Co. Ltd.	BBB-	9/20/2016
Johnson Electric Holdings Limited	BBB	12/8/2014
Kaisa Group Holdings Ltd.	BB-	4/12/2010
Kaisa Group Holdings Ltd.	B+	5/25/2011
Kaisa Group Holdings Ltd.	BB-	5/2/2014
Kaisa Group Holdings Ltd.	SD	1/5/2015
Kaisa Group Holdings Ltd.	D	3/24/2015
Kaisa Group Holdings Ltd.	NR	6/25/2015
Kerry Properties Ltd.	NR	6/15/2016
KGI Bank	BBB	9/15/2014
KGI Securities Co. Ltd.	BBB	4/14/2013
Kingboard Chemical Holdings Ltd.	BBB-	7/10/2007
Kingboard Chemical Holdings Ltd.	BB+	8/27/2013
Kingboard Chemical Holdings Ltd.	NR	7/28/2016
Kowloon-Canton Railway Corp.	A+	2/9/2001
Kowloon-Canton Railway Corp.	AA-	7/19/2005
Kowloon-Canton Railway Corp.	AA	7/27/2006
Kowloon-Canton Railway Corp.	AA+	7/31/2008
Kowloon-Canton Railway Corp.	AAA	12/16/2010
Kowloon-Canton Railway Corp.	AA+	9/22/2017
Kunlun Energy Company Limited	A+	4/27/2015
Kunlun Energy Company Limited	A	9/22/2017
KWG Group Holdings Limited	BB-	8/3/2010
KWG Group Holdings Limited	B+	5/17/2017
Lai Fung Holdings Ltd.	B+	3/26/2007
Land Bank of Taiwan	A-	4/28/2005
Land Bank of Taiwan	A	9/27/2005
Land Bank of Taiwan	A-	1/12/2009
LDK Solar Co., Ltd.	B+	5/4/2011
LDK Solar Co., Ltd.	NR	9/8/2011
Lehman Brothers Asia Holdings Ltd.	A+	4/14/2008
Lehman Brothers Asia Holdings Ltd.	A	6/2/2008
Lehman Brothers Asia Holdings Ltd.	BB-	9/15/2008

**R-6-3 历史评级结果 (非金融及金融机构)**

名称	评级	日期
Lehman Brothers Asia Holdings Ltd.	NR	9/25/2008
Lehman Brothers Commercial Corp. Aisa Ltd.	A+	4/14/2008
Lehman Brothers Commercial Corp. Aisa Ltd.	A	6/2/2008
Lehman Brothers Commercial Corp. Aisa Ltd.	BB-	9/15/2008
Lehman Brothers Commercial Corp. Aisa Ltd.	NR	9/25/2008
Li & Fung Ltd.	A-	8/12/2004
Li & Fung Ltd.	BBB+	4/25/2013
Lianyungang Port Group Co. Ltd.	BBB-	4/5/2017
Link Real Estate Investment Trust	A	6/28/2006
Lionbridge Capital Co., Limited	B+	7/7/2017
Lionbridge Capital Co., Limited	B	9/22/2017
Logan Property Holdings Co. Ltd.	BB-	4/28/2017
Longfor Properties Co. Ltd.	BB+	3/2/2011
Longfor Properties Co. Ltd.	BBB-	10/28/2016
Lonking Holdings Limited	BB	5/13/2011
Lonking Holdings Limited	BB-	7/4/2012
Lonking Holdings Limited	NR	7/7/2014
Macronix International Co. Ltd.	B+	12/17/2002
Macronix International Co. Ltd.	B	5/27/2003
Macronix International Co. Ltd.	NR	1/12/2006
Mandra Forestry Finance Ltd.	B	4/12/2005
Mandra Forestry Finance Ltd.	B-	6/20/2006
Mandra Forestry Finance Ltd.	CCC+	12/19/2008
Mandra Forestry Finance Ltd.	CC	4/30/2009
Mandra Forestry Finance Ltd.	D	5/18/2009
Mandra Forestry Finance Ltd.	CC	5/4/2010
Mandra Forestry Finance Ltd.	NR	5/4/2010
Maoye International Holdings Limited	BB+	6/19/2013
Maoye International Holdings Limited	BB	3/3/2015
Maoye International Holdings Limited	BB-	8/31/2015
Maoye International Holdings Limited	B	11/2/2015
Maoye International Holdings Limited	B-	3/17/2016
Mega International Commercial Bank Co. Ltd.	A	10/15/2002
Mega International Commercial Bank Co. Ltd.	A-	4/8/2004
Mega International Commercial Bank Co. Ltd.	A	9/27/2005
Melco Resorts (Macau) Limited	BB-	9/6/2007
Melco Resorts (Macau) Limited	BB	5/11/2012
Metallurgical Corporation of China Ltd.	BBB	5/16/2017
Midea Group Co. Ltd.	A-	11/18/2015
MIE Holdings Corporation	B+	4/26/2011
MIE Holdings Corporation	B	1/19/2015
MIE Holdings Corporation	B-	1/25/2016
MIE Holdings Corporation	CCC	4/11/2017
MIE Holdings Corporation	CC	6/12/2017
MIE Holdings Corporation	SD	8/28/2017
MIE Holdings Corporation	CCC-	8/28/2017
Mingfa Group (International) Company Limited	B	1/23/2013
Mingfa Group (International) Company Limited	B-	4/10/2015

**R-6-3 历史评级结果 (非金融及金融机构)**

名称	评级	日期
Mingfa Group (International) Company Limited	CCC+	4/6/2016
Mingfa Group (International) Company Limited	NR	5/22/2017
Mitsui & Co. (Hong Kong) Ltd.	BBB+	9/5/2003
Mitsui & Co. (Hong Kong) Ltd.	A-	3/7/2005
Mitsui & Co. (Hong Kong) Ltd.	A	2/8/2006
Mitsui & Co. (Hong Kong) Ltd.	NR	8/31/2006
Mitsui Sumitomo Insurance (China) Co. Ltd.	A	4/1/2008
Mitsui Sumitomo Insurance (China) Co. Ltd.	NR	4/30/2008
Mizuho Bank (China) Ltd.	A	9/12/2007
Mizuho Bank (China) Ltd.	A+	7/31/2008
Mizuho Bank (China) Ltd.	A	9/17/2015
Mongolian Mining Corporation	B+	3/14/2012
Mongolian Mining Corporation	B	5/14/2013
Mongolian Mining Corporation	B-	8/30/2013
Mongolian Mining Corporation	CCC+	2/2/2014
Mongolian Mining Corporation	CCC	9/2/2015
Mongolian Mining Corporation	CCC-	1/27/2016
Mongolian Mining Corporation	D	3/24/2016
Mongolian Mining Corporation	B-	12/12/2017
Mongolian Resources Corp.	B-	10/8/2012
Mongolian Resources Corp.	NR	1/22/2014
Mosel Vitelic Inc.	B-	12/20/2001
Mosel Vitelic Inc.	CCC+	11/4/2002
Mosel Vitelic Inc.	NR	3/25/2003
MTR Corp. Ltd.	A+	2/9/2001
MTR Corp. Ltd.	AA-	7/19/2005
MTR Corp. Ltd.	AA	7/27/2006
MTR Corp. Ltd.	AA+	7/31/2008
MTR Corp. Ltd.	AAA	12/16/2010
MTR Corp. Ltd.	AA+	9/22/2017
MUFG Bank (China) Ltd.	A+	11/13/2008
MUFG Bank (China) Ltd.	A	11/29/2017
Nan Fung International Holdings Limited	BBB-	1/9/2012
Nan Ya Plastics Corp.	BBB+	6/10/2004
Nan Ya Plastics Corp.	A-	12/6/2007
Nan Ya Plastics Corp.	BBB+	5/6/2009
Nanjing Yangzi State-Owned Assets Investment Group Co. Ltd.	BBB+	4/13/2017
Nanya Technology Corp.	BB	2/26/2004
Nanya Technology Corp.	NR	8/17/2005
New World Infrastructure Ltd.	BB+	2/25/2002
New World Infrastructure Ltd.	NR	3/25/2003
Nexteer Automotive Group Limited	BB+	11/3/2014
Nexteer Automotive Group Limited	BBB-	10/12/2017
Nine Dragons Paper (Holdings) Ltd.	BBB-	4/14/2008
Nine Dragons Paper (Holdings) Ltd.	BB	12/16/2008
Nine Dragons Paper (Holdings) Ltd.	NR	6/14/2011
Noah Holdings Ltd.	BBB-	7/23/2017
Noble Group Ltd.	BB+	3/2/2005

**R-6-3 历史评级结果 (非金融及金融机构)**

名称	评级	日期
Noble Group Ltd.	BBB-	9/18/2009
Noble Group Ltd.	BB+	1/7/2016
Noble Group Ltd.	BB-	2/26/2016
Noble Group Ltd.	B+	6/15/2016
Noble Group Ltd.	CCC+	5/22/2017
Noble Group Ltd.	CCC-	8/14/2017
Nord Anglia Education Inc.	B	3/12/2012
Nord Anglia Education Inc.	B+	4/8/2014
Nord Anglia Education Inc.	B	1/27/2016
Nord Anglia Education Inc.	NR	9/4/2017
NWS Holdings Ltd.	BBB-	11/17/2002
NWS Holdings Ltd.	NR	2/26/2004
O-Bank Co. Ltd.	BBB-	6/18/2008
O-Bank Co. Ltd.	NR	6/10/2009
Ocean Grand Holdings Ltd.	BB-	11/16/2005
Ocean Grand Holdings Ltd.	B	7/20/2006
Ocean Grand Holdings Ltd.	D	7/25/2006
Ocean Grand Holdings Ltd.	NR	10/10/2006
Oceanwide Holdings Co. Ltd.	B	8/29/2014
Oceanwide Holdings Co. Ltd.	B-	9/21/2017
Pactera Technology International Ltd.	BB-	3/12/2014
Pactera Technology International Ltd.	B+	1/14/2016
Pactera Technology International Ltd.	B	1/25/2017
Panda Green Energy Group Limited	BB-	10/30/2016
Panda Green Energy Group Limited	B+	9/19/2017
Parkson Retail Group Ltd.	BB	11/7/2006
Parkson Retail Group Ltd.	BB+	4/21/2011
Parkson Retail Group Ltd.	BB	2/21/2014
Parkson Retail Group Ltd.	BB-	4/15/2014
Parkson Retail Group Ltd.	B	3/10/2016
Parkson Retail Group Ltd.	B-	3/27/2017
PCCW-HKT Telephone Ltd.	BBB	7/4/2001
PCCW-HKT Telephone Ltd.	NR	11/28/2008
Pearl Holding III Limited	B	12/15/2017
People's Republic of China	BBB+	2/17/2004
People's Republic of China	A-	7/19/2005
People's Republic of China	A	7/27/2006
People's Republic of China	A+	7/31/2008
People's Republic of China	AA-	12/16/2010
People's Republic of China	A+	9/21/2017
Poly Real Estate Group Co. Ltd.	BBB+	6/14/2013
Poly Real Estate Group Co. Ltd.	BBB	9/22/2017
Postal Savings Bank of China Co., Ltd.	A	8/24/2017
Power Assets Holdings Limited	A+	2/9/2001
Power Assets Holdings Limited	A-	1/29/2014
Power Construction Corporation of China	A-	7/31/2014
Power Construction Corporation of China	BBB+	6/22/2016
Powerchip Semiconductor Corp.	B	12/16/2002

**R-6-3 历史评级结果 (非金融及金融机构)**

名称	评级	日期
Powerchip Semiconductor Corp.	NR	3/25/2003
Powerlong Real Estate Holdings Ltd.	B+	8/31/2010
Powerlong Real Estate Holdings Ltd.	B	3/27/2012
Powerlong Real Estate Holdings Ltd.	B+	11/21/2017
Qingdao City Construction Investment (Group) Limited	BBB	1/30/2015
Qingdao Conson Development (Group) Co. Ltd.	BBB	11/27/2017
Qinghai Provincial Investment Group Co. Ltd.	BB-	2/7/2017
Quanta Computer Inc.	BBB-	6/13/2003
Quanta Computer Inc.	NR	7/28/2005
Quanta Display Inc.	B+	3/3/2003
Quanta Display Inc.	NR	3/25/2003
Quanta Display Inc.	B+	2/17/2004
Quanta Display Inc.	NR	8/17/2005
R&F Properties (HK) Co. Ltd.	BB	10/11/2013
R&F Properties (HK) Co. Ltd.	BB-	9/15/2014
R&F Properties (HK) Co. Ltd.	B+	4/30/2015
Red Star Macalline Group Corporation Limited	BBB	9/29/2015
Redco Properties Group Limited	B	6/30/2014
Renhe Commercial Holdings Co. Ltd.	BB	4/27/2010
Renhe Commercial Holdings Co. Ltd.	BB-	5/23/2011
Renhe Commercial Holdings Co. Ltd.	B+	3/15/2012
Renhe Commercial Holdings Co. Ltd.	B	3/28/2012
Renhe Commercial Holdings Co. Ltd.	B-	7/4/2012
Renhe Commercial Holdings Co. Ltd.	CCC	4/5/2013
Renhe Commercial Holdings Co. Ltd.	CC	11/26/2014
Renhe Commercial Holdings Co. Ltd.	SD	1/8/2015
Renhe Commercial Holdings Co. Ltd.	CCC	1/21/2015
Renhe Commercial Holdings Co. Ltd.	CCC-	2/4/2016
Renhe Commercial Holdings Co. Ltd.	B-	9/15/2016
Ritek Corp.	BB-	1/28/2002
Ritek Corp.	B+	9/20/2002
Ritek Corp.	B	6/20/2006
Ritek Corp.	NR	9/3/2007
Road King Infrastructure Ltd.	BB+	6/20/2000
Road King Infrastructure Ltd.	BBB-	2/7/2002
Road King Infrastructure Ltd.	BB+	10/24/2006
Road King Infrastructure Ltd.	BB	4/30/2007
Road King Infrastructure Ltd.	BB-	4/6/2009
Ronshine China Holdings Ltd.	B	11/28/2016
Sakura Finance Asia Ltd.	NR	3/22/2000
Sateri International Co. Ltd.	B+	10/17/2005
Sateri International Co. Ltd.	NR	5/7/2007
Semiconductor Manufacturing International Corporation	BBB-	10/22/2013
Shandong Energy Group Co. Ltd.	BB	7/12/2017
Shandong Ruyi Technology Group Co. Ltd.	B	11/15/2016
Shandong Sanxing Group Company Ltd.	BB-	12/3/2017
Shandong Yuhuang Chemical Co. Ltd.	B+	3/14/2017
Shanghai Commercial & Savings Bank Ltd. (The)	BBB+	12/17/2012

**R-6-3 历史评级结果 (非金融及金融机构)**

名称	评级	日期
Shanghai Construction Group Co. Ltd.	BBB	6/24/2015
Shanghai Electric (Group) Corporation	A	7/31/2014
Shanghai Electric Group Company Limited	A	8/1/2014
Shanghai Electric Power Company Limited	BBB	7/22/2015
Shanghai Huayi (Group) Company	BBB	11/17/2014
Shanghai Huayi (Group) Company	BBB-	5/12/2016
Shanghai Industrial Urban Development Group Limited	B+	7/5/2007
Shanghai Industrial Urban Development Group Limited	B	5/30/2008
Shanghai Industrial Urban Development Group Limited	B-	9/5/2008
Shanghai Industrial Urban Development Group Limited	CCC+	1/15/2009
Shanghai Industrial Urban Development Group Limited	D	1/23/2009
Shanghai Industrial Urban Development Group Limited	CC	2/19/2009
Shanghai Industrial Urban Development Group Limited	SD	5/18/2009
Shanghai Industrial Urban Development Group Limited	CC	5/20/2009
Shanghai Industrial Urban Development Group Limited	CCC-	12/8/2009
Shanghai Industrial Urban Development Group Limited	B	10/4/2010
Shanghai Industrial Urban Development Group Limited	BB	9/25/2013
Shanghai Industrial Urban Development Group Limited	NR	9/26/2014
Shanghai International Port (Group) Co. Ltd.	A+	10/20/2014
Shanghai International Port (Group) Co. Ltd.	AA-	5/8/2015
Shanghai International Port (Group) Co. Ltd.	A+	9/30/2016
Shanghai International Port Group (HK) Co., Limited	A	7/26/2017
Shanghai International Trust & Investment Corp.	NR	2/13/2000
Shanghai Pudong Development Bank Co. Ltd.	BBB+	6/24/2013
Shanghai Pudong Development Bank Co. Ltd.	BBB	6/17/2016
Shanghai Rural Commercial Bank Co. Ltd.	BBB-	11/4/2014
Shanghai Zendai Property Ltd.	B+	5/21/2007
Shanghai Zendai Property Ltd.	B	7/12/2010
Shanghai Zendai Property Ltd.	B-	8/30/2011
Shanghai Zendai Property Ltd.	NR	8/13/2013
Shanxi Road & Bridge Construction Group Co. Ltd.	BB	10/13/2016
Shanxi Road & Bridge Construction Group Co. Ltd.	BB-	10/31/2017
Shenhua Hong Kong Limited	A+	1/6/2015
Shenhua Hong Kong Limited	A	9/22/2017
Shenzhen Expressway Company Limited	BBB	9/3/2015
Shenzhen International Holdings Limited	BBB	4/5/2012
Shimao Property Holdings Ltd.	BB+	11/8/2006
Shimao Property Holdings Ltd.	BB	9/30/2008
Shimao Property Holdings Ltd.	BB-	7/9/2012
Shimao Property Holdings Ltd.	BB	5/31/2013
Shimao Property Holdings Ltd.	BB+	7/31/2015
Shin Kong Financial Holding Co. Ltd.	BBB	5/25/2006
Shin Kong Financial Holding Co. Ltd.	BBB-	4/29/2009
Shin Kong Financial Holding Co. Ltd.	NR	2/7/2013
Siliconware Precision Industries Co. Ltd.	BB-	1/23/2002
Siliconware Precision Industries Co. Ltd.	NR	3/25/2003
Siliconware Precision Industries Co. Ltd.	BB	2/23/2004
Siliconware Precision Industries Co. Ltd.	BB+	12/5/2006

**R-6-3 历史评级结果 (非金融及金融机构)**

名称	评级	日期
Siliconware Precision Industries Co. Ltd.	NR	12/3/2007
Sinochem Hong Kong (Group) Co. Ltd.	BBB+	10/25/2010
Sinochem Hong Kong (Group) Co. Ltd.	NR	10/25/2010
Sinochem Hong Kong (Group) Co. Ltd.	BBB	11/1/2011
Sinochem Hong Kong (Group) Co. Ltd.	BBB+	12/18/2013
Sinochem Hong Kong (Group) Co. Ltd.	A-	4/17/2014
Sinochem International Corporation	BBB+	7/11/2017
Sino-Forest Corp.	BB-	7/28/2004
Sino-Forest Corp.	BB	3/27/2007
Sino-Forest Corp.	B+	6/30/2011
Sino-Forest Corp.	B	8/23/2011
Sino-Forest Corp.	CCC-	8/29/2011
Sino-Forest Corp.	NR	8/29/2011
Sino-Ocean Group Holding Ltd.	BBB-	7/18/2014
Sino-Ocean Group Holding Ltd.	BB+	6/29/2017
Sino-Ocean Group Holding Ltd.	NR	6/29/2017
SinoPac Holdings	BB	7/11/2002
SinoPac Holdings	BB+	12/19/2002
SinoPac Holdings	BBB-	7/10/2005
SinoPac Securities Corp.	BBB	9/24/2014
Sinopec Century Bright Capital Investment Limited	A	3/27/2013
Sinopec Century Bright Capital Investment Limited	A+	9/30/2014
Sinopec Century Bright Capital Investment Limited	A	1/25/2016
Sinopec Century Bright Capital Investment Limited	A+	4/13/2017
Sinopec Century Bright Capital Investment Limited	A	9/22/2017
SKILL Holdings L.P.	B+	3/16/2004
SKILL Holdings L.P.	NR	4/1/2004
SmarTone Telecommunications Holdings Ltd.	NR	10/17/2001
SOHO China Limited	BB+	10/26/2012
SOHO China Limited	BB	8/26/2015
SOHO China Limited	NR	3/20/2017
Sound Global Limited	BB-	5/6/2012
Sound Global Limited	B	4/1/2015
Sound Global Limited	CCC	4/30/2015
Sound Global Limited	CCC+	11/27/2015
Sound Global Limited	NR	11/27/2015
SRE Group Ltd.	BB-	4/3/2006
SRE Group Ltd.	B+	6/18/2008
SRE Group Ltd.	B	3/10/2009
SRE Group Ltd.	B-	12/6/2010
SRE Group Ltd.	NR	5/6/2013
Standard Chartered Bank	AA-	12/1/2011
Standard Chartered Bank	A+	11/28/2014
Standard Chartered Bank	A	3/31/2016
Standard Chartered Bank (China) Ltd.	A	4/1/2007
Standard Chartered Bank (China) Ltd.	A+	7/31/2008
Standard Chartered Bank (China) Ltd.	AA-	12/1/2011
Standard Chartered Bank (China) Ltd.	A+	11/28/2014

**R-6-3 历史评级结果 (非金融及金融机构)**

名称	评级	日期
Standard Chartered Bank (China) Ltd.	A	3/31/2016
Standard Chartered Bank (Hong Kong) Ltd.	A	7/1/2004
Standard Chartered Bank (Hong Kong) Ltd.	A+	6/7/2006
Standard Chartered Bank (Hong Kong) Ltd.	AA-	12/1/2011
Standard Chartered Bank (Hong Kong) Ltd.	A+	3/31/2016
Standard Chartered Bank (Taiwan) Ltd.	A	6/21/2007
Standard Chartered Bank (Taiwan) Ltd.	A+	12/1/2011
Standard Chartered Bank (Taiwan) Ltd.	A	11/28/2014
Standard Chartered Bank (Taiwan) Ltd.	A-	3/31/2016
Standard Chartered PLC	A+	12/1/2011
Standard Chartered PLC	A	11/28/2014
Standard Chartered PLC	A-	2/3/2015
Standard Chartered PLC	BBB+	3/31/2016
State Development & Investment Corp.	A+	4/18/2017
State Development & Investment Corp.	A	9/22/2017
State Grid Corporation of China	AA-	5/8/2013
State Grid Corporation of China	A+	9/22/2017
State Grid International Development Limited	A+	1/6/2015
State Grid International Development Limited	A	9/22/2017
State Power Investment Corporation Limited	A-	11/22/2016
Studio City Company Limited	B+	11/6/2012
Studio City Company Limited	BB-	4/30/2014
Sumitomo Mitsui Banking Corp. (China) Ltd.	A+	10/12/2010
Sumitomo Mitsui Banking Corp. (China) Ltd.	A	9/17/2015
Sun Hung Kai Properties Ltd.	A+	12/17/2010
Sunac China Holdings Limited	BB-	3/3/2011
Sunac China Holdings Limited	B+	5/26/2015
Sunday Communications Ltd.	NR	10/17/2001
Sunshine 100 China Holdings Ltd.	B	7/18/2014
Sunshine 100 China Holdings Ltd.	B-	4/6/2016
Sunshine 100 China Holdings Ltd.	CCC+	7/18/2017
Swire Pacific Ltd.	BBB+	5/20/2002
Swire Pacific Ltd.	A-	8/16/2005
Swire Properties Limited	A-	5/25/2012
Swire Properties Limited	NR	10/16/2017
Ta Chong Bank Ltd.	BBB+	2/20/2017
Tahoe Group Co., Ltd.	B	11/15/2017
Taipei Fubon Commercial Bank Co. Ltd.	A-	9/6/2005
Taishin Financial Holding Co. Ltd.	BBB-	9/28/2009
Taishin International Bank Co. Ltd.	BBB	9/28/2009
Taiwan (Republic of China)	AA	7/26/2001
Taiwan (Republic of China)	AA-	12/18/2002
Taiwan Business Bank	BBB	8/18/2016
Taiwan Business Bank	BBB+	1/25/2017
Taiwan Cooperative Bank Ltd.	BBB+	2/20/2006
Taiwan Cooperative Bank Ltd.	A-	12/6/2011
Taiwan Cooperative Financial Holding Co. Ltd.	BBB-	2/7/2013
Taiwan Financial Holding Co. Ltd.	A+	9/29/2011



### R-6-3 历史评级结果 (非金融及金融机构)

名称	评级	日期
Taiwan Financial Holding Co. Ltd.	NR	9/24/2012
Taiwan Financial Holding Co. Ltd.	A+	12/9/2016
Taiwan Financial Holding Co. Ltd.	NR	12/7/2017
Taiwan Mobile Co. Ltd.	NR	10/17/2001
Taiwan Power Co.	AA-	12/18/2000
Taiwan Power Co.	A+	8/16/2005
Taiwan Power Co.	NR	1/2/2007
Taiwan Power Co.	A+	6/30/2009
Taiwan Power Co.	NR	6/24/2015
Taiwan Semiconductor Manufacturing Co. Ltd.	A+	4/20/2010
Taiwan Shin Kong Commercial Bank Co. Ltd.	BBB	5/16/2006
Taiwan Shin Kong Commercial Bank Co. Ltd.	BBB+	10/31/2007
Taiwan Shin Kong Commercial Bank Co. Ltd.	BBB	4/29/2009
Taoyuan International Airport Corp.	A+	12/6/2016
Tencent Holdings Limited	BBB+	11/14/2011
Tencent Holdings Limited	A-	6/24/2013
Tencent Holdings Limited	A	4/21/2015
Tencent Holdings Limited	A+	4/27/2017
Test Rite International Corp.	NR	4/15/2004
Texhong Textile Group Ltd.	BB	1/3/2011
Texhong Textile Group Ltd.	BB-	3/22/2012
Texhong Textile Group Ltd.	BB	6/8/2016
The Bank of East Asia (China) Limited	A-	11/5/2007
The Bank of East Asia (China) Limited	A	12/1/2011
The Bank of East Asia (China) Limited	A-	7/10/2017
The Bank of East Asia Limited	BBB+	1/7/2001
The Bank of East Asia Limited	A-	6/30/2006
The Bank of East Asia Limited	A	12/1/2011
The Bank of East Asia Limited	A-	7/10/2017
The Export-Import Bank of the Republic of China	AA-	1/2/2017
The Hong Kong and China Gas Company Ltd.	A+	7/23/2008
The Hong Kong Mortgage Corp. Ltd.	A+	7/26/2001
The Hong Kong Mortgage Corp. Ltd.	AA-	7/19/2005
The Hong Kong Mortgage Corp. Ltd.	AA	7/27/2006
The Hong Kong Mortgage Corp. Ltd.	AA+	7/31/2008
The Hong Kong Mortgage Corp. Ltd.	AAA	12/16/2010
The Hong Kong Mortgage Corp. Ltd.	AA+	9/21/2017
The Hongkong and Shanghai Banking Corp. Ltd.	A+	5/31/2001
The Hongkong and Shanghai Banking Corp. Ltd.	AA-	7/19/2005
The Hongkong and Shanghai Banking Corp. Ltd.	AA	7/27/2006
The Hongkong and Shanghai Banking Corp. Ltd.	AA-	11/29/2011
The Hongkong Land Company, Limited	A-	3/8/2001
The Hongkong Land Company, Limited	BBB+	5/20/2002
The Hongkong Land Company, Limited	A-	2/4/2010
The Hongkong Land Company, Limited	A	4/15/2014
The Kowloon Motor Bus Company (1933) Limited	A	1/13/2002
Tianjin Binhai New Area Construction & Investment Group Co. Ltd.	A-	6/22/2015
Tianjin Binhai New Area Construction & Investment Group Co. Ltd.	BBB+	7/28/2017

**R-6-3 历史评级结果 (非金融及金融机构)**

名称	评级	日期
Tianjin Infrastructure Construction & Investment Group Co. Ltd.	A-	5/31/2016
Tianjin International Trust & Investment Corp.	NR	2/13/2000
Tianjin Rail Transit Group Co. Ltd.	A-	4/25/2016
Times China Holdings Limited	B+	3/10/2014
Tingyi (Cayman Islands) Holding Corp.	BBB+	6/5/2012
Titan Petrochemicals Group Ltd.	BB-	12/3/2003
Titan Petrochemicals Group Ltd.	B+	3/30/2007
Titan Petrochemicals Group Ltd.	B	4/28/2008
Titan Petrochemicals Group Ltd.	B-	9/3/2008
Titan Petrochemicals Group Ltd.	CCC	2/20/2009
Titan Petrochemicals Group Ltd.	CC	12/8/2009
Titan Petrochemicals Group Ltd.	SD	7/21/2010
Titan Petrochemicals Group Ltd.	NR	7/21/2010
TOM Group Ltd.	BB+	11/6/2003
TOM Group Ltd.	BB	8/8/2008
TOM Group Ltd.	BB-	8/20/2010
TOM Group Ltd.	NR	8/20/2010
Tongchuangjiuding Investment Management Group Co., Ltd.	BB	7/7/2017
Towngas China Company Ltd.	BB+	4/10/2003
Towngas China Company Ltd.	BB	6/29/2006
Towngas China Company Ltd.	BB+	3/9/2007
Towngas China Company Ltd.	BBB-	8/23/2007
Towngas China Company Ltd.	BBB	7/28/2010
Towngas China Company Ltd.	BBB+	6/25/2015
Trade and Development Bank of Mongolia LLC	B	6/16/2014
Trade and Development Bank of Mongolia LLC	B-	11/3/2015
Tunghsu Group Co., Ltd.	B+	5/31/2017
Union Bank of Taiwan	BB	1/11/2000
Union Bank of Taiwan	NR	4/5/2001
Union Bank of Taiwan	BB	3/27/2002
Union Bank of Taiwan	NR	4/8/2002
United Microelectronics Corp.	BBB+	12/5/2001
United Microelectronics Corp.	BBB	3/15/2002
United Microelectronics Corp.	BBB+	6/7/2004
United Microelectronics Corp.	NR	12/21/2009
Urban Renewal Authority	AA+	12/23/2008
Urban Renewal Authority	AAA	12/16/2010
Urban Renewal Authority	AA+	9/22/2017
Vanke Real Estate (Hong Kong) Company Limited	BBB+	7/16/2013
Vipshop Holdings Ltd.	BBB	2/20/2017
Wan Hai Lines Ltd.	BBB-	1/20/2003
Wan Hai Lines Ltd.	BBB	3/8/2005
Wan Hai Lines Ltd.	BBB-	4/25/2007
Wan Hai Lines Ltd.	BB+	12/4/2008
Wanda Commercial Properties (Hong Kong) Co. Ltd.	BBB	10/31/2013
Wanda Commercial Properties (Hong Kong) Co. Ltd.	BBB-	2/2/2016
Wanda Commercial Properties (Hong Kong) Co. Ltd.	BB+	12/12/2016
Wanda Commercial Properties (Hong Kong) Co. Ltd.	BB-	9/27/2017

**R-6-3 历史评级结果 (非金融及金融机构)**

名称	评级	日期
Wanhua Chemical Group Co. Ltd.	BBB-	11/6/2014
Weichai Power Co. Ltd.	BBB	9/15/2015
West China Cement Ltd.	BB-	1/11/2011
West China Cement Ltd.	B+	9/3/2012
West China Cement Ltd.	B	7/6/2016
West China Cement Ltd.	B+	3/22/2017
Westpac Banking Corp. (Hong Kong Branch)	AA-	9/15/2002
Westpac Banking Corp. (Hong Kong Branch)	NR	10/29/2002
WH Group Ltd.	BBB	10/11/2016
Wharf (Holdings) Ltd.	NR	6/21/2010
Wing Hang Bank Ltd.	BBB+	11/7/2003
Wing Hang Bank Ltd.	NR	11/20/2008
Winsway Enterprises Holdings Limited	BB-	3/23/2011
Winsway Enterprises Holdings Limited	B+	2/28/2012
Winsway Enterprises Holdings Limited	B	1/21/2013
Winsway Enterprises Holdings Limited	CC	8/21/2013
Winsway Enterprises Holdings Limited	SD	10/10/2013
Winsway Enterprises Holdings Limited	CCC	10/28/2013
Winsway Enterprises Holdings Limited	SD	4/27/2015
Winsway Enterprises Holdings Limited	NR	2/24/2016
Wuxi Construction and Development Investment Co. Ltd.	BBB+	6/12/2016
Xihui International Co. Ltd.	BBB	6/12/2016
Xinhu Zhongbao Co. Ltd.	B	2/17/2017
Xinhua Finance Ltd.	B+	10/26/2006
Xinhua Finance Ltd.	B	9/14/2007
Xinhua Finance Ltd.	B-	1/7/2009
Xinhua Finance Ltd.	NR	6/18/2009
Xinjiang Goldwind Science & Technology Co. Ltd.	BBB-	8/4/2016
Xinjiang Guanghui Industry Investment (Group) Co. Ltd.	B	9/28/2016
Xinyuan Real Estate Co. Ltd.	B+	4/17/2013
Xinyuan Real Estate Co. Ltd.	B	11/17/2014
Xiwang Special Steel Company Limited	BB-	2/3/2015
Xiwang Special Steel Company Limited	NR	12/7/2015
Yageo Corp.	B+	12/16/2003
Yageo Corp.	NR	1/4/2005
Yanfeng Global Automotive Interior Systems Co. Ltd.	BBB-	2/27/2017
Yango Group Co. Ltd.	B	10/24/2017
Yangzhou Urban Construction State-owned Assets Holding (Group) Co. Ltd.	BBB	12/7/2017
Yanzhou Coal Mining Company Limited	BBB-	4/27/2012
Yanzhou Coal Mining Company Limited	BB+	9/30/2013
Yanzhou Coal Mining Company Limited	BB	4/22/2015
Yanzhou Coal Mining Company Limited	BB-	4/29/2016
Yestar Healthcare Holdings Co. Ltd.	BB-	8/29/2016
Yida China Holdings Ltd.	B	4/10/2017
Yihua Enterprise (Group) Co. Ltd.	B	9/11/2017
Yingde Gases Group Co. Ltd.	BB	4/2/2013
Yingde Gases Group Co. Ltd.	B-	12/15/2016
Yingde Gases Group Co. Ltd.	CCC-	12/23/2016

**R-6-3 历史评级结果 (非金融及金融机构)**

名称	评级	日期
Yingde Gases Group Co. Ltd.	CCC+	8/14/2017
Yuanta Commercial Bank	BBB	8/15/2007
Yuanta Commercial Bank	BBB+	12/11/2014
Yuexiu Property Company Limited	BBB-	9/11/2014
Yuexiu Property Company Limited	BB+	5/17/2017
Yuexiu Property Company Limited	NR	12/21/2017
Yuexiu Real Estate Investment Trust	BBB	3/21/2013
Yuexiu Real Estate Investment Trust	BBB-	11/8/2016
Yuexiu Transport Infrastructure Limited	BBB-	4/24/2015
Yuzhou Properties Co. Ltd.	B+	11/9/2010
Yuzhou Properties Co. Ltd.	BB-	8/29/2017
YY Inc.	BB+	7/20/2015
YY Inc.	BB	4/5/2016
Zhenjiang Transportation Industry Group Co. Ltd.	BB	11/24/2016
Zhong An Real Estate Limited	B+	1/24/2011
Zhong An Real Estate Limited	B	2/28/2012
Zhong An Real Estate Limited	B-	6/27/2014
Zhong An Real Estate Limited	NR	2/1/2016
Zhong Hai Sheng Rong (Beijing) Capital Management Limited Company	B	5/11/2017
Zhongrong International Holdings Limited	BB	5/26/2015
Zhongrong International Holdings Limited	BB-	5/6/2016
Zhongrong International Trust Co. Ltd.	BB+	5/11/2016
Zhongrong Xinda Group Co. Ltd.	BB-	9/4/2017
Zoomlion Heavy Industry Science and Technology Co. Ltd.	BB+	3/16/2012
Zoomlion Heavy Industry Science and Technology Co. Ltd.	BB	5/14/2014
Zoomlion Heavy Industry Science and Technology Co. Ltd.	B+	4/2/2015
Zoomlion Heavy Industry Science and Technology Co. Ltd.	B	9/9/2016